

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jennifer Su Saak et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For Environmental Barrier Coating

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

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This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.


Date

General Electric Company
CRD Patent Dock t Rm 4A59
P.O. Box 8, Bldg. K-1
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Customer Number: 006147

Respectfully submitted,



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Applicati n Number

Unassigned

Filing Date

First Named Invent r

Jennifer SU Saak

Art Unit

Unassigned

Examiner Name

Unassigned

Sheet

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of

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Attorney Docket Number

126118

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 2002/0025454	02/28/2002	Wang et al.	
	2	US- 2003/0003328	01/02/2003	Spitsburg et al.	
	3	US- 2002/0136835	09/26/2002	Li et al.	
	4	US- 5,391,404	02/21/1995	Lee et al.	
	5	US- 5,496,644	03/05/1996	Lee et al.	
	6	US- 5,869,146	02/09/1999	McCluskey et al.	
	7	US- 5,985,470	11/16/1999	Spitsburg et al.	
	8	US- 6,129,954	10/10/2000	Spitsburg et al.	
	9	US- 6,254,935	07/03/2001	Eaton et al.	
	10	US- 6,284,325	09/04/2001	Eaton, Jr. et al.	
	11	US- 6,296,909	10/02/2001	Spitsburg et al.	
	12	US- 6,296,941	10/2/2001	Eaton, Jr. et al.	
	13	US- 6,296,942	10/2/2001	Eaton, Jr. et al.	
	14	US- 6,299,988	10/09/2001	Wang et al.	

FOREIGN PATENT DOCUMENTS

*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
	1	WO	99/58472	11/18/1999	Wang et al.		<input type="checkbox"/>	<input type="checkbox"/>
	2	WO	00/64836	11/02/2000	Wang et al.		<input type="checkbox"/>	<input type="checkbox"/>
	3	EP	0 993 423	04/19/2000	Wang		<input type="checkbox"/>	<input type="checkbox"/>
	4	EP	0 993 424	04/19/2000	Wang et al.		<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
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							<input type="checkbox"/>	<input type="checkbox"/>

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STATEMENT BY APPLICANT**

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First Named Inventor

Jennifer SU Saak

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	15	US- 6,312,763	11/06/2001	Eaton, Jr. et al.	
	16	US- 6,352,790	03/05/2002	Eaton et al.	
	17	US- 6,365,288	04/02/2002	Eaton et al.	
	18	US- 6,387,456	05/14/2002	Eaton, Jr. et al.	
	19	US- 6,410,148	06/25/2002	Eaton, Jr. et al.	
	20	US- 6,444,335	09/03/2002	Wang et al.	
	21	US- 6,485,848	11/26/2002	Wang et al.	

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